

Alternatives to Effort

Cohort Conference Call Agenda and Minutes

May 17, 2016

2.00 p.m. EST – 3.00 p.m. EST

Dial-In: 720-279-0026

Passcode: 272805

Attendees:

Lisa Mosley
Jeremy Forsberg
David Ngo
Angelo Chrisomalis
Steve Martin
Charla Campbell
Kevin Dial
Can Saygin
Traci Merrill
Sherry Esham
Matt Richter
Manuela Dokie
Greg Crouch
Robin Lewis
Jason Richter
Debbie Shaver
Brian Korblick
Zach Belton
David Hagen
Kim Small
Jeff Kemper
Richard Bradbury
Mike Ludwig
Chris Sorensen
Becky Rogers
Ashley Whitaker
Mary Ellen Fortini
Kelly Mckinney

Institution:

Arizona State University
University of Texas-Arlington
University of Texas SW Med Ctr
Rutgers University
Indiana University
University of West Georgia
Southern Illinois University - Edwardsville
University of Texas-San Antonio
University of San Diego
University of Arizona
University of Wisconsin-Milwaukee
University of Texas-El Paso
Arkansas Tech University
Georgia College & State University
University of Texas-Austin
University of Idaho
Huron Consulting Group
Huron Consulting Group
University of Minnesota
Washington State University
Ohio State University
Ohio State University
University of Chicago
University of Chicago
Georgia Southern University
Nova Southeastern University
Santa Clara University
University of Texas - Dallas

2.00 p.m. EST

Introduction/Overview/Attendance

2.10 p.m. EST

Overview and discussion of documents

- Policy Matrix (all tabs including OIG Analysis and Internal Controls)
- Policy Matrix Guidance Document
- National Dialogue
- Executive Summary
- Draft Policy (Alternative 2)

2.50 p.m. EST

Wrap up and next steps

Summary

- Jeremy Forsberg walked through the Policy Matrix including the OIG Analysis and Internal Controls tab. There was a discussion regarding the IG recommendation in the MTU audit to include total compensation from all sources in the report and whether this was the intent of 200.430 (i)(1)(iii). The project team agreed that 200.430 (i)(1)(iii) refers to the system itself and not a requirement related to data elements provided for review/confirmation. It was noted that it was not an audit finding at George Mason or Michigan Tech.
- It was suggested to include headers on the document so when printed one can easily follow.
- It was suggested that Cohort members share their internal controls to help populate the Internal Control tab. This info would also serve as a library/resource to the Cohort of what other institutions have developed/implemented, which may be completed, via a cohort survey.
- It was suggested that the Project Team address other administrative requirements that may need to be addressed (reduction in effort, salary cap, etc.) if institutions are using their effort reporting system to currently manage.
- Zach Belton provided comments prior to the call on matrix related to expanding pros and cons of the alternative policies that will be incorporated.
- The Policy Matrix Guidance document, National Dialogue, Executive Summary and Draft Policy (Alternative 2) were reviewed, but no suggested changes were provided during the call.
- David Ngo provided an overview of business processes that UTSW has implemented to support moving to an environment that relies on internal controls for fiscal management.
- Several institutions have indicated their intent to move away from effort reporting. University of Chicago has already made the transition. Other Big Ten schools are also considering similar transitions.
- Based on comments during the call and received via email after the call, there were many positive comments to the Policy Matrix and related tabs to describe the support and policy components for validating alternatives to effort reporting. The national dialogue and guidance documents were also well received.

Action items:

- Update matrix to incorporate suggested changes and populate internal control tab. Will send updated version to Cohort in the next few weeks.
- Schedule next call/follow up meeting – TBD.